

### **REMARKS**

Upon entry of the foregoing amendments, claims 6-8, 11-20, and 23-25 are pending. Claims 6, 12, 15, and 24 are independent claims. Claims 6, 12, 15, 16, 18, and 24 are currently amended, and claims 9-10 and 21-22 are canceled. No new matter is introduced by these amendments and their entry is respectfully requested.

In view of the above amendments and following remarks, each of the presently pending claims in this application is believed to be in immediate condition for allowance. Accordingly, the Examiner is respectfully requested to reconsider and withdraw the outstanding rejections of the claims and to pass this application to issue. If it is determined that a telephone conference would expedite the prosecution of this application, the Examiner is invited to telephone the undersigned at the number given below.

### **Request for Substitute Specification**

In accordance with Examiner's request, Applicants have submitted a substitute specification under 37 C.F.R. §1.125(b), attach herewith. In addition, Applicants submit the foregoing marked-up copy of the specification in accordance with 37 C.F.R. §1.125(b) to show the amendments made via the substitute specification. The substitute specification contains no new matter, and its entry into the application is respectfully requested.

### **Rejections under 35 U.S.C. § 102(e)**

Claims 6-12, 15, and 24 are rejected under 35 U.S.C. §102(e) as being allegedly anticipated by U.S. Patent No. 6,157,474 to Orr *et al.* ("Orr"). Applicants respectfully traverse this rejection and offer the following discussion in support of this traversal.

Orr discloses a display device comprising a holographic optical element having at least two sets of hologram regions, the region(s) of the first set being interleaved or overlapping with adjacent region(s) of the second set(s) and being constructed such that light incident on each set of regions is diffracted so as to construct a respective one or more of a plurality of real or virtual images of a diffuse light source. This is in addition to an image generating means comprising a plurality of image elements; wherein the holographic element and its hologram regions are

disposed and designed such that light diffracted by the first set of hologram regions passes through a corresponding first set of image elements and such that light diffracted by the second set of hologram regions passes through a corresponding second set of image elements.

In view of this, claim 6 as amended is patentable over Orr because Orr fails to teach or suggest an “interlaced waveguide hologram comprising a first holographic recording ... and second holographic recording ... wherein each holographic recording is approximately 2.5 inches in width,” as required by claim 6. Though the Office Action cites Fig. 2, 5 and col. 5, lines 13-20 and col. 8, lines 58-60 within Orr, these cited figures and passages within Orr are silent as to the actual recording width of the holographic recording. Rather, Orr generally discloses a spatially divided hologram 3 consisting of sections 3l and 3r (Orr, col. 5, lines 13-20), and two masks used to define right and left viewing apertures (Orr, col. 8, lines 58-60), there is a distinct lack of the width definition provided within claim 6.

Accordingly, claim 6 as amended recites patentable subject matter over Orr for at least this foregoing reason. Applicants therefore kindly request the Examiner to withdraw and reconsider the rejection of claim 6 under 35 U.S.C. §102(e).

Additionally, independent claims 12, 15, and 24 as amended are also patentable over Orr for at least the same reasons as provided with respect to 6 based on their recitation of features similar to that of claim 6 (e.g., a holographic recording approximately 2.5 inches in width). Claims 7-11 depend from independent amended claim 6 and, therefore, are also patentable over Orr for at least the same reasons provided with respect to claim 6, and further in view of their own distinguishing features. Accordingly, Applicants also respectfully request the Examiner to withdraw and reconsider the rejection of claims 7-12, 15, and 24 under 35 U.S.C. §102(e).

#### **Rejections under 35 U.S.C. § 103(a)**

Claims 16-23 are rejected under 35 U.S.C. §103(a) as being allegedly rendered obvious by Orr in view of U.S. Patent No. 6,081,354 to Gambogi (“Gambogi”). Claims 13, 14 and 25 are rejected under 35 U.S.C. §103(a) as being allegedly rendered obvious by Orr in view of U.S. Patent No. 5,457,574 to Eichenlaub (“Eichenlaub”). Applicants respectfully traverse this rejection and offer the following discussion in support of the traversal.

Claims 16-24 as amended are patentable over Orr for at the least reasons provided above with respect to claim 15 based on their dependence therefrom, and further in view of their own respective limitations. Furthermore, Gambogi fails to cure the deficiencies of Orr as provided above.

Gambogi discloses a volume holographic reflector utilized with a liquid crystal display (LCD) apparatus to provide a white and/or neutral background for the display when viewed with ambient light. In order to facilitate this behavior, the apparatus according to Gambogi includes a liquid crystal display element having a layer of liquid crystals contained in a plurality of cells capable of providing a particular orientation for the liquid crystals therein. The holographic reflector is described as a holographic recording film layer having a plurality of first pixel volumes and a plurality of second pixel volumes. Each of the pixel volumes includes a holographic mirror that reflects a light having a select color. Additionally, each of the pixel volumes is positioned in the recording film layer such that the light passing through each liquid crystal cell is reflected by both the first and second volumes. (See, e.g., Gambogi, col. 1, lines 31-55). No where does Gambogi teach or suggest that the holographic recording is approximately 2.5 inches in width, as required by claim 15, from which claim 16 depends.

Accordingly, claims 16-24 are patentable over Orr and Gambogi, considered alone or in combination, and Applicants respectfully request the Examiner to withdraw the rejection of claims 16-24 under 35 U.S.C. §103(a).

Claims 13 and 14 are patentable over Orr at the least reasons provided above with respect to claim 12 based on their dependence therefrom, and further in view of their own respective limitations. Similarly, claim 25 is patentable over Orr at the least reasons provided above with respect to claim 24 based on their dependence therefrom, and further in view of their own respective limitations. In addition, claims 13, 14, and 25 are patentable over Eichenlaub because Eichenlaub fails to cure the deficiencies of Orr as provided above.

In accordance with Eichenlaub, an autostereoscopic display is disclosed having an optical system and one or more light sources which provide high brightness of the observed three-dimensional images and a high brightness-to-input-power ratio by having the light beam passing through the optical system directed to the observer's eyes. (See, Eichenlaub, Abstract.)

Eichenlaub's disclosure, however, does not provide for a holographic recording that is approximately 2.5 inches in width, as required by both claim 12 and claim 24 of the present invention.

Accordingly, claims 13, 14, and 25 are patentable over Orr and Eichenlaub, considered alone or in combination, and Applicants respectfully request the Examiner to withdraw the rejection of claims 13, 14, and 25 under 35 U.S.C. §103(a).

**CONCLUSION**

In view of the above, each of the presently pending claims in this application is believed to be in immediate condition for allowance. Accordingly, the Examiner is respectfully requested to reconsider and withdraw the outstanding rejection of the claims and to pass this application to issue.

In the event the U.S. Patent and Trademark office determines that an extension and/or other relief is required, applicant petitions for any required relief including extensions of time and authorizes the Commissioner to charge the cost of such petitions and/or other fees due in connection with the filing of this document to Deposit Account No. 50-4562 referencing the Attorney Docket No. noted above. However, the Commissioner is not authorized to charge the cost of the issue fee to the Deposit Account.

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**SUBSTITUTE SPECIFICATION WITH MARKINGS****BACKGROUND OF THE INVENTION****[0001] Field of the Invention**

**[0002]** The present invention relates generally to the field of three-dimensional (3-D) displays. More particularly, the present invention concerns 3-D Holographic Liquid Crystal Displays (HLCD) that do not require the viewer to wear goggles or glasses. The present invention thus relates to 3-D HLCD systems of the type that can be termed autostereoscopic. The present invention is further directed to a method of making a right-left eye view multiplexed hologram for use in the 3-D HLCD display.

**[0003] Discussion of the Related Art**

**[0004]** High performance display systems are key components in virtually all system interfaces for scientific and engineering instrumentation. For example, three-dimensional imaging provides a great advantage in medical imaging, such as neuroscience research and other research that relies on the visualization of three-dimensional structures.

**[0005]** Scientific visualization, simulation, and instrument design are typically carried out using scientific workstations, powerful image-processing and computer-graphics software, and special hardware designed to render pseudo three-dimensional pictures on two-dimensional displays. Unfortunately, these systems lack significant aspects of image content because they rely on the viewer's interpretation of two-dimensional visual depth cues to produce a three-

dimensional image. Adding a third dimension to the visual display greatly enhances the viewer's ability to perceive and interpret precise spatial relations of imagery.

**[0006]** Several three-dimensional display techniques have been developed but their application is limited by many factors. These factors include the need for external devices such as scanners and projectors, the inability to display flicker-free images in real time, limited viewing range reduced resolution, reliance on special image data formatting and scanning, and increased cost. Therefore, a need exists for a cost-effective, three-dimensional display unit that readily interfaces with a conventional two-dimensional display format, permits real-time interactivity with wide, field-of-view "look around" capability, and supports a three-dimensional autostereoscopic visualization.

#### **Summary and Objects of the Invention**

**[0007]** It is an object of the present invention to provide a high-resolution, high quality, full color, autostereoscopic three-dimensional images, produced by means of a multiplexed holographic diffraction grating structure.

**[0008]** It is further an object of the present invention to provide a system that confines projected light to the required display and viewing structures.

**[0009]** In is another object of the present invention to provide a three-dimensional viewing system that is cost effective, compact and compatible with conventional two-dimensional flat panel LCD display technology.

**[0010]** It is another object of the present invention to provide a three-dimensional viewing system that is compatible with conventional two-dimensional displays and video transmission formats.

[0011] It is another object of the present invention to provide a three-dimensional viewing system that provides wide look-around field-of view.

[0012] Finally, it is an object of the present invention to provide a hologram multiplexed waveguide containing a holographic recording of a right visual field and a left visual field.

[0013] In accordance with these objectives, the present invention provides an autostereoscopic, high-resolution wide look around field-of-view, compact, flat-panel, three-dimensional holographic liquid crystal display (HLCD) system. The system comprises a backlit LCD modified with a novel, switchable backlighting panel formed of special multiplexed holograms.

[0014] More specifically, the system comprises a backlight, a first light diffracting hologram, a second right-left visual field multiplexing waveguide hologram and an LCD. If the backlight is not collimated such as those used in conventional LCD displays, a micro-collimator array may be placed between the backlight and the first hologram to provide collimated light.

[0015] The system creates three-dimensional parallax depth cues by presenting each eye with a view of the object from a different perspective. Conventional three-dimensional viewing methods rely upon special viewing devices such as goggles and special viewing glasses to channel the light to the appropriate eye. The system of the current invention however, utilizes a novel right-left visual field multiplexed waveguide hologram to channel the light to either the right or left eye within the system, thereby eliminating the need for external viewing devices.

[0016] A novel right-left visual field multiplexing waveguide hologram master for use in the viewing system is formed through a recording process utilizing a combination of a

photolithographic mask and a view region mask in conjunction with a waveguide holographic recording involving an object beam and a reference beam in a waveguide propagation mode. The multiplexed waveguide hologram recording process comprises a two step process; a first holographic recording corresponding to a first eye viewing zone is formed, and then a second holographic recording corresponding to the second eye viewing zone is formed. The multiplexed master waveguide hologram is then used to form holographic contact copies that provide a cost-effective method of transforming a conventional LCD display into an autostereoscopic 3D HLCD display system. An important aspect of this invention is that the waveguide hologram provides a means to keep unwanted light from being seen by the viewer.

**[0017]** Another aspect of the present invention is to provide multiple left-right viewing fields and a head position tracking camera so that correct 3-D perspective view can be displayed according to the viewer's eye position.

**[0018]** Other aspects and objects of the present invention will be better appreciated and understood when considered in conjunction with the following description and the accompanying drawings. It should be understood, however, that the following description, while indicating preferred embodiments of the present invention, is given by way of illustration and not of limitation. Many changes and modifications may be made within the scope of the present invention without departing from the spirit thereof, and the invention includes all such modifications.

### **Brief Description of the Drawings**

**[0019]** A clear conception of the advantages and features constituting the present invention, and of the construction and operation of typical mechanisms provided with the present

invention, will become more readily apparent by referring to the exemplary, and therefore non-limiting, embodiments illustrated in the drawings accompanying and forming a part of this specification: wherein, like reference numerals designate the same elements in the several views, and in which:

**[0020]** FIG. 1 illustrates a schematic view of the 3D HLCD system.

**[0021]** FIG. 2 illustrates an exploded schematic view of the system elements.

**[0022]** FIG. 2A illustrates an exploded schematic view of the collimated light passing through LCD pixels.

**[0023]** FIG. 3 illustrates a schematic view of the method of making a micro-collimator array.

**[0024]** FIG. 4 illustrates a schematic view of the recording process of the first hologram.

**[0025]** FIG. 5 illustrates a schematic view of the recording process of the second hologram master.

**[0026]** FIG. 6 illustrates the alternating right and left viewing fields formed by the second hologram.

**[0027]** FIG. 7 illustrates the positions of the photolithographic mask and view region mask during the first holographic recording and the second holographic recording.

### **Detailed Description of the Invention**

**[0028]** The present invention and the various features and advantageous details thereof are explained more fully with reference to the non-limiting embodiments described in detail in the following description.

#### **[0029]** 1. System Overview

**[0030]** As shown in FIG. 1, the present invention provides an autostereoscopic, full-resolution, compact, wide look around flat field-of-view, flat-panel, three dimensional display system. The system 10 comprises a backlit LCD modified with a novel, switchable backlighting panel formed of a collimated backlight 12, micro-collimator array 14, a first hologram 16, a second hologram 18 and a standard LCD 20.

**[0031]** When assembled and in use, the backlight 12 transmits light through the micro-collimator array 14. The micro-collimator array 14 projects the collimated light toward the first waveguide hologram 16 at a predetermined angle, preferably zero degrees. The first hologram 16 then diffracts the light with an angle greater than the critical angle, preferably 45 degrees, and disperses it into various wavelengths. The first and second holographic recordings within the second waveguide hologram 18 then deflect the light to corresponding first and second viewing zones before exiting through the corresponding odd and even pixels of the LCD display 20. Each element of the system 10 will be discussed in greater detail in the following sections.

#### **[0032]** 2. The 3D HLCD Apparatus

**[0033]** As shown in FIGS. 2 and 2A, the system comprises a backlight 12, a micro-collimator array 14, a first hologram 16, a second hologram 18 and a standard LCD 20 containing pixels 21.

**[0034]** A. The Backlight

**[0035]** The backlight 12 may be a conventional collimated light. For example, the light may be a compact, low power white light collimated illumination source. The light may be formed through various methods including using a low power metal halide lamp with a Fresnel lens having a short focal length.

**[0036]** B. The Micro-Collimator Array and Method of Making

**[0037]** The micro-collimator array 14 may be used to collimate the backlight source is not collimated (i.e. diffused). The pinhole formed at the focal point of each micro-lens concentrates and collimates the light. The micro-collimator array 14 is formed through the following process as shown in FIG. 3.

**[0038]** An appropriate commercially available micro-lens master 22 is selected. A rubber negative 24 of the master is formed of rubber or other suitable material. A substrate 26 is coated with UV curable epoxy 28 preferably having an index of refraction between 1.48 and 1.65. The rubber negative 24 is then pressed into the epoxy 28 to form the micro-lenses 22 of the micro-collimated array 14. As the rubber negative 24 is pressed into the epoxy 28, UV light is directed through the epoxy coated substrate 26 to cure the epoxy 28. After the rubber negative 24 is removed, the substrate 26 side of the micro-collimator array 14 is coated with a mixture of black dye and containing Shipley positive photoresist composition. UV light is then directed through

each of the micro-lenses 22 . The curvature of the micro-lenses 22 focuses the UV light on focal points 30 along the substrate 26, softening the substrate at each focal point 30. An etching solution is then applied to the substrate 26, forming the pinholes within the micro-collimator array 14.

**[0039] C. The First Hologram and Method of Making**

**[0040]** Referring now to FIG. 4, the first hologram 16 is used to direct the light to the second hologram at a predetermined angle preferably between 45 to 50 degrees off the horizontal (i.e., greater than the angle of reflection) and spreads the light into red, green and blue spectral images. The first hologram is formed from through a conventional two-beam recording process. More specifically, a holographic plate substrate 32 is coated with a photosensitive emulsion 34 such as silver halide. A diffused object beam and a collimated reference beam pass through the substrate 32 and into the emulsion 34 to record a hologram that disperses light between 45-50 degrees off horizontal. The resulting hologram disperses the light projected through the micro-collimator array 14 into separate red, green and blue spectral images.

**[0041] D. The Second Hologram and Method of Making**

**[0042]** The second hologram 18 as shown in FIG. 5 comprises two separate interlaced holographic recordings. One recording corresponds to a right visual field and the other corresponds to a left visual field. When viewed, the final hologram produces alternating right and left holographic that corresponds directly to pixels in the LCD as illustrated in FIG. 6 which creates a three-dimensional effect. Each alternating right and left recording is a predetermined width. This width is equal to the width of an LCD pixel, preferably 200 microns, the width of a standard LCD pixel.

**[0043]** The second hologram 18 or the right -left interlaced hologram master is formed through the following process. As shown in FIG. 5 a holographic plate or substrate 36 is coated with a photosensitive emulsion 38, preferably silver halide. The coated substrate 36 is then mounted onto a gray glass plate holder 40 so that the emulsion layer 38 is facing away from the gray glass plate holder 40. The exposed side of the emulsion layer is then coated with an indexing fluid 44 such as ISOPAR®.

**[0044]** 1. The Photolithographic Mask

**[0045]** A photolithographic mask 44 is placed over the emulsion layer 38 coated with indexing fluid 44 prior to recording. The mask 46 provides a series of equally spaced lines. Each line having a width equal to the width of a standard LCD pixel, preferably between 200 to 300 microns. For example, if the odd number lines are black 48 and the even number lines are clear 50, the odd number lines act as a mask, blocking holographic recording under the odd numbered lines of the mask 48.

**[0046]** 2. View Region Mask

**[0047]** It is well known that humans perceive three-dimensions because the information received by the right eye is slightly different than the information received by the left eye. The separation of approximately 2.5 inches between the right and left eyes results in these perceived differences which converge to provide depth cues that create a three dimensional effect. This concept is mimicked through the use of the view region mask 52 during the holographic recording process as shown in FIG. 5.

**[0048]** A view region mask diffuser 52 is used to block out either the left visual field or the right visual field during a holographic recording. The view region mask diffuser 52 comprises a substrate 54 and a view region mask 56.

**[0049]** The view region mask 56 provides a series of blacked out regions 58. Each blacked out region 58 is 2.5 inches wide and 2.5 inches apart from the next blacked out region 58. During the recording process, the view region mask 56 is placed in the path of the object beam. The blacked out region 58 will block out either the left or the right half of the object beam.

**[0050]** The view region mask 56 is used in conjunction with the photolithographic mask 46 to create a first holographic recording and a second holographic recording which interlace to create separate right and left visual fields.

**[0051]** Referring now to FIG. 6 and FIG. 7, both the photolithographic mask 44 [[46]] and the view region mask 56 have a first position and a second position. During the first holographic recording, both the photolithographic mask 44 [[46]] and the view region mask 56 must be in a first position. Both the photolithographic mask 44 [[46]] and the view region mask 56 must then be shifted into their second position before the commencement of the second holographic recording.

**[0052]** For example, if the photolithographic mask 44 [[46]] masks the area corresponding to the odd number pixels of the LCD, and the view region mask 56 blocks the right viewing region during the formation of the first holographic recording, the photolithographic mask 44 [[46]] must mask the area corresponding to the even numbered pixels of the LCD and, the view region mask 56 must block the left viewing region during the formation of the second holographic recording.

**[0053]** The holographic recordings are formed through a two-beam recording process as shown in FIG. 5. The first holographic recording is formed by passing the object beam through the view region mask diffuser 56 positioned at a distance equal to the systems desired playback distance and then through the photolithographic mask 44 [[46]]. The reference beam passes through the photolithographic mask 44 [[46]] at an angle equal to the reference angle used to record the first hologram, preferably between 45-50 degrees off horizontal. The photolithographic mask 44 [[46]] and the view region mask 56 are then shifted from the first position to the second and the recording is repeated to form the second holographic recording.

**[0054]** The first holographic recording creates a plurality of holograms of a predetermined width, which are separated by blank spaces of the same predetermined width. The second holographic recording creates a plurality of holograms with slits that fill in the blank spaces present after the first holographic recording.

**[0055]** Copies of the right-left interlaced hologram master 18 are then made through contact copying wherein the master 18 is copied with conventional procedure utilizing a 45 degree reference laser beam having a wavelength of 514 nanometers.

**[0056]** More specifically, as shown in FIG. 8, a contact copy 66 [[58]] of the right-left interlaced hologram through the following process. A holographic plate 60 coated with a photosensitive emulsion 62, such as silver halide, which forms the contact copy 66 [[58]], is loaded onto a gray glass plate holder 40 with the photosensitive emulsion 62 in contact with the gray glass plate 40. A glass spacer 64 is positioned over the holographic plate 60. The spacer 64 is preferably 1 mm thick. The right-left interlaced hologram master 18 is positioned over the glass spacer 64 so that the emulsion coated side [[38]] of the master 18 is in contact with the

spacer 64. The coated holographic plate 60, the spacer 64 and the master 18 are indexed so that the emulsion coating on the holographic plate and the emulsion layer on the master are separated by a distance equal to the thickness of the LCD substrate, preferably 1 mm. The contact copy 66 [[58]] is then recorded by passing a single reference beam through the master 18, spacer 64, holographic plate 60, and photosensitive emulsion layer 62 at a predetermined angle, preferably 45 degrees for a predetermined exposure time. The contact copy 66 [[58]] is then developed through photochemical processing. There are virtually innumerable uses for the present invention, all of which need not be detailed here. All the disclosed embodiments can be practiced without undue experimentation.

**[0057]** Although the best mode contemplated by the inventors of carrying out the present invention is disclosed above, practice of the present invention is not limited thereto. It will be manifest that various additions, modifications and rearrangements of the features of the present invention may be made without deviating from the spirit and scope of the underlying inventive concept. For example, the individual components need not be fabricated from the disclosed materials, but could be fabricated from virtually any suitable materials. Further, all the disclosed features of each disclosed embodiment can be combined with, or substituted for, the disclosed features of every other disclosed embodiment except where such features are mutually exclusive.

**[0058]** It is intended that the appended claims cover all such additions, modifications and rearrangements. Expedient embodiments of the present invention are differentiated by the appended subclaims.

### **Abstract**

The invention is directed to an autostereoscopic three-dimensional liquid crystal display system and a method of making the system. The system comprises a collimated backlight, a first light diffracting hologram, a second right-left interlacing hologram and a liquid crystal display. If the backlight is not collimated, a micro-collimator array is used to collimate the backlight prior to passing into the first hologram. The second right-left interlacing hologram is formed through a two step process. The process comprises positioning a photolithographic mask and a view region mask in a first position, recording the first holographic recording in the first position, shifting the photolithographic mask and the view region mask to a second position and recording the second holographic recording in the second position.